

PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

(Case No. 01-494)

IN THE APPLICATION OF:

David James Stevenson et al.

Serial No. 09/897,232

Filed: July 02, 2001

Title Network Management Apparatus  
And Method For Determining  
Network Events

Examiner: To be Assigned

Group Art Unit: 2176

Confirmation No.: 9022

BOX DD

Commissioner for Patents  
Washington, D.C. 20231

Sir:

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FEB 04 2002  
Group 2100

TRANSMITTAL LETTER

In regard to the above identified application:

1. We are transmitting herewith the attached:

- a. Supplemental Information Disclosure Statement;
- b. Supplemental Form PTO-1449;
- c. Copy of IDS Citations 09/897,232 (4 references);
- d. Return Receipt Postcard.

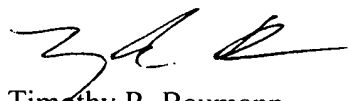
2. With respect to additional fees:

☒ No additional fee is required.

3. Please charge any additional fees or credit overpayment to Deposit Account No.13-2490. A duplicate copy of this sheet is enclosed.

4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Box DD, Washington, D.C. 20231 on this 3<sup>rd</sup> day of January, 2002.

By :

  
Timothy R. Baumann  
Reg. No. 40,502



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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

BOX DD  
Commissioner for Patents  
Washington, D.C. 20231-9999

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the references are enclosed. Copies are also listed in the PTO-1449 form enclosed herewith. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing from the present application.

Portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the

references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. sections 102 or 103, nor is this submission to be construed as a representation that a search has been made.

**CITED REFERENCES**

**U.S. Patent Documents**

Document Number	Date	Name	Class	Sub Class	Filing Date of App.
5,923,247	07/13/1999	Dowden et al.	340	506	12/22/1995

**Foreign Patent Documents**

Document Number	Date	Country	Class	Sub Class
WO94/19888	09/01/1994	PCT	H04L	12/24
WO00/72514	11/30/2000	PCT	H04L	12/24
WO00/13373	03/09/2000	PCT	H04L	12/26


Respectfully submitted,

**McDonnell Boehnen Hulbert & Berghoff**

Date:

01/03/02

By:



**Timothy R. Baumann**  
**Registration No. 40,502**

Form PTO-1449 (modified)

Atty. Docket No.

01-494

Serial No.

09/897,232

List of Patents and Publications for Applicant's

Applicant

David James Stevenson et al.

SUPPLEMENTAL

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:

July 02, 2001

Group:

2176

U.S. Patent Documents

See Page 1

Foreign Patent Documents

See Page 1

Other Art

See Page 1

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	5,923,247	07/13/1999	Dowden et al.	340	506	12/22/1995
	A2						
	A3						
	A4						
	A5						

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Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1	WO94/19888	09/01/1994	PCT	H04L	12/24	
	B2	WO00/72514	11/30/2000	PCT	H04L	12/24	
	B3	WO00/13373	03/09/2000	PCT	H04L	12/26	
	B4						
	B5						

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

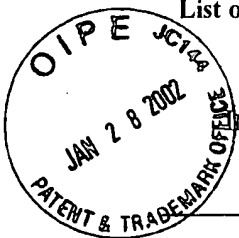
Exam. Init.	Ref. Des.	Citation
	C1	
	C2	
	C3	
	C4	
	C5	
	C6	

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)



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